

TRANSMITTAL FORM

Application Serial Number

10 Rec'd PCT/PTO 06 MAR 2000 #4

Filing Date

November 16, 1999

First Named Inventor

Tani

Group Art Unit

Not yet assigned

Examiner Name

Not yet assigned

Attorney Docket No.

FJN-077

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Check Attached
☐ Copy of Fee
Transmittal Form

☐ Amendment/Response

☐ After Final
☐ Affidavits/declaration(s)
☐ Letter to Official
Draftsperson
including Drawings
[Total Sheets ____]

☒ Extension of Time Request

☐ Information Disclosure
Statement
☐ Form PTO-1449
☐ Copies of IDS Citations

☐ Certified Copy of Priority
Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Copy of Notice to File Missing
Parts of Application (PTO-1553)

☐ Formal Drawing(s)

☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition to
Convert to a Provisional
Application

☐ Power of Attorney
(Revocation of Prior Powers)

☐ Terminal Disclaimer

☒ Executed Declaration and Power of
Attorney for Utility or Design
Patent Application

☐ Small Entity Statement

☐ Request for Refund

☐ After Allowance Communication
to Group

☐ Appeal Communication to Board
of Patent Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Status Letter

☒ Return Receipt Postcard

☒ Certificate of First Class Mailing
under 37 C.F.R. 1.8

☒ Additional Enclosure(s)
(please identify below)
Notification of Missing Requirements Under
35 USC 371 in the U.S. Designated/Elected
Office (DO/EO/US) (2 pgs.)

Copy of Petition of Extension of Time
authorizing \$110.00 charged to Dep. Acct.
No. 20-0531

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibeault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Date: 2/28/00
Reg. No. 27,829
Tel. No.: (617) 248-7589
Fax No.: (617) 248-7100

Respectfully submitted,

Edmund R. Pitcher
Edmund R. Pitcher
Attorney for Applicant(s)
Testa, Hurwitz & Thibeault, LLP
High Street Tower
125 High Street
Boston, MA 02110

FEE TRANSMITTAL

Note: Effective January 10, 2000.
Patent fees are subject to annual revision

Complete if Known

Application Serial Number	09/423,905
Filing Date	November 16, 1999
First Named Inventor	Tani
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket No.	FJN-077

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	130.00
50	25	Surcharge - late provisional filing fee or	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
380	190	Extension for reply within second month	
870	435	Extension for reply within third month	
1,360	680	Extension for reply within fourth month	
1,850	925	Extension for reply within fifth month	
300	150	Notice of Appeal	
300	150	Filing a brief in support of an appeal	
260	130	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
240	240	Submission of Information Disclosure Statement (37 CFR 1.97(c))	
130	130	Submission of Information Disclosure Statement (37 CFR 1.97(d))	
690	345	Filing a submission after final rejection (37 CFR 1.129(a))	
690	345	For each additional invention to be examined (37 CFR 1.129(b))	
		Other (Specify)	

FEE CALCULATION

1. FILING FEE

Large Entity

Fee (\$)	Fee Description	Fee Paid
690	Utility filing fee	
310	Design filing fee	
150	Provisional filing fee	

Number Filed	Number Extra	Rate	Amount
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Total Claims - 20 = x \$ 18.00 =

Independent Claims - 3 = x \$ 78.00 =

☐ Multiple Dependent Claim(s), if any \$260.00 =

TOTAL:

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 0.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 78.00 =

☐ First Presentation of Multiple Dep. Claim + \$260.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$)0.00

SUBTOTAL (3) (\$) 130.00

SUBTOTAL (1) 0.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 130.00

TOTAL (\$) 130.00

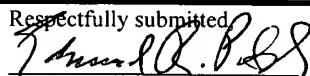
CORRESPONDENCE ADDRESS

Direct all correspondence to:

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Respectfully submitted

 Edmund R. Pitcher
 Attorney for the Applicants
 Testa, Hurwitz & Thibault, LLP
 High Street Tower-125 High Street
 Boston, MA 02110

PATENT
Attorney Docket No. FJN-077

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Tani et al.
SERIAL NO.: 09/423,905 GROUP NO.: Not yet assigned
FILING DATE: November 16, 1999 EXAMINER: Not yet assigned
TITLE: Therapeutic Agent For Preventing And/Or Treating Sepsis

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 28th day of February, 2000.


Sarah J. Blackwell

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Certificate of First Class Mailing (1 pg.); Fee Transmittal (1 pg.); Transmittal Form (1 pg.); Petition of Extension of Time Under 37 CFR 1.136(a) (1 pg.) (One Month Extension of Time); Copy of Petition of Extension of Time (1 pg.); (Authorization to Charge \$110.00 to Deposit Account No. 20-0531); Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (2 pgs.); Declaration and Power of Attorney For Utility or Design Patent Application (3 pgs.); Check in the amount of \$130.00; and postcard.